SagePoint FINANCIAL

July 16, 2013

Dr. Zubeida Moorjani
[redacted]
Sylvania, OH 43560

Re: Request for Information Letter from Deepak Moorjani

Dear Dr. Moorjani:

This letter is to confirm the outcome of our recent conversation regarding the Request for Information letter SagePoint Financial, Inc. received from Deepak Moorjani. I have enclosed a copy of Mr. Moorjani's letter in this mailing. Thank you for speaking with me.

It is my understanding that Mr. Moorjani does not have Power of Attorney or authorization to request information on your accounts held with SagePoint Financial registered representative, Suoeja Kummar. It is also my understanding that you have no issues or concerns with your dealings or relationship with Ms. Kummar.

Per our discussion, you do not give authorization for SagePoint to provide Deepak Moorjani with details or information about your accounts, and we will abide by your decision. If you have any further questions or concerns regarding this letter, please do not hesitate to contact me directly at (770) 916-6532.

Sincerely,

Michael Thorpe

Michael L. Thorpe
Compliance Officer

Cc: Mr. Deepak Moorjani;
Ms. Suoeja Kummar

DOC ID ----> 201025200705

DATE	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
09/10/2010	201025200705	ARTICLES OF ORGNZTN/DOM. PROFIT LIM.LIAB. CO. (LCP)	125.00	.00		.00	.00

Receipt

This is not a bill. Please do not remit payment.

SUOEJA KUMMAR
6029 RENAISSANCE PLACE
SUITE D-2
TOLEDO, OH 43623

STATE OF OHIO
CERTIFICATE
Ohio Secretary of State, Jennifer Brunner

1962024

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

S.K. FINANCIAL, LLC.

and, that said business records show the filing and recording of:

Document(s):	Document No(s):
ARTICLES OF ORGNZTN/DOM. PROFIT LIM.LIAB. CO.	**201025200705**

United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of the Secretary of State at Columbus, Ohio this 8th day of September, A.D. 2010.

Ohio Secretary of State

DOC ID ----> 201025200705

Form 533A Prescribed by the:
Ohio Secretary of State

Central Ohio: (614) 466-3910
Toll Free: (877) SOS-FILE (767-3453)

www.sos.state.oh.us
Busserv@sos.state.oh.us

Expedite this form: (select one)
Mail form to **one** of the following:

○ Expedite — PO Box 1390, Columbus, OH 43216
***** Requires an additional fee of $100 *****

● Non Expedite — PO Box 670, Columbus, OH 43216

2010 SEP -3 AM 9:27

ARTICLES OF ORGANIZATION FOR A DOMESTIC LIMITED LIABILITY COMPANY

Filing Fee: $125.00

(CHECK ONLY ONE (1) BOX)

(1) ☑ Articles of Organization for Domestic For-Profit Limited Liability Company (115-LCA) ORC 1705	(2) ☐ Articles of Organization for Domestic Nonprofit Limited Liability Company (115-LCA) ORC 1705

Name of limited liability company

S.K. FINANCIAL, LLC.

Name must include one of the following words or abbreviations: "limited liability company," "limited," "LLC," "L.L.C.," "ltd.," "or "ltd"

Effective Date (Optional) UPON FILING. mm/dd/yyyy **(The legal existence of the limited liability company begins upon the filing of the articles or on a later date specified that is not more than ninety days after filing)**

This limited liability company shall exist for (Optional) PERPETUITY. Period of Existence

Purpose (Optional)

☐ ***Check here if additional provisions are attached***

ORIGINAL APPOINTMENT OF AGENT

The undersigned authorized member(s), manager(s) or representative(s) of

S.K. FINANCIAL, LLC.

Name of Limited Liability Company

hereby appoint the following to be Statutory Agent upon whom any process, notice or demand required or permitted by statute to be served upon the limited liability company may be served. The name and address of the agent is

SUDEJA KUMAR

Name of Agent

6029 RENAISSANCE PLACE STE D-2

Mailing Address

TOLEDO — City

Ohio — State

43623 — Zip Code

☐ **If the agent is an individual and using a P.O. Box, check this box to certify the agent is an Ohio resident.**

ACCEPTANCE OF APPOINTMENT

The undersigned, named herein as the statutory agent for

S.K. FINANCIAL, LLC.

Name of Limited Liability Company

hereby acknowledges and accepts the appointment of agent for said limited liability company

Sudeja Kumar — 9/3/2010

Agent's Signature

DOC ID ----> 201025200705

By signing and submitting this form to the Ohio Secretary of State, the undersigned hereby certifies that he or she has the requisite authority to execute this document on behalf of the limited liability company identified above.

REQUIRED
Articles and original appointment of agent must be authenticated **(signed)** by a member, manager or other representative.

Signature _____ Date 9/3/2010

Print Name SUDEEJA. KUMMAR

Signature _____ Date _____

Print Name _____

Signature _____ Date _____

Print Name _____

(See Instructions Below)